



Let's talk Forex

CIN : L67190TN1994PL029151

NBFC : 07.00078

## ***Si Capital & Financial Services Limited***

*Where Forex standards are set. not just met*

Stk/14-15/

13<sup>th</sup> Jan '2015

BOMBAY STOCK EXCHANGE LTD.,  
Floor No: 25, P.J. Towers ,  
Dalal Street  
MUMBAI 400 001.

Dear Sir,

**Sub: Compliance Report on Corporate Governance**

Pursuant to Clause 49 of the Listing Agreement, we submit herewith compliance report on Corporate Governance for the Quarter ended 31<sup>st</sup> December 2014. and also forwarding the same to your email id: [corp.relations@bseindia.com](mailto:corp.relations@bseindia.com).

Kindly acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For SI CAPITAL & FINANCIAL SERVICES LTD.,

  
Authorised Signatory

Encl: as above



CIN : L67199TN1000472029151

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**Si Capital & Financial Services Limited**

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*Where Forex standards are set. not just met***QUARTERLY COMPLIANCE REPORT IN CORPORATE GOVERNANCE**  
Quarter ending on : 31.12.2014

| Particulars                                                             | Clause of Listing agreement | Compliance Status Yes/No | Remarks |
|-------------------------------------------------------------------------|-----------------------------|--------------------------|---------|
| <b>II. Board of Directors</b>                                           |                             |                          |         |
| (A) Composition of Board                                                | 49 (II)                     | YES                      |         |
| (B) Independent Directors                                               | 49 (IIA)                    | YES                      |         |
| (C) Non-executive Directors' compensation & disclosures                 | 49 (IIB)                    | YES                      |         |
| (D) Other provisions as to Board and Committees                         | 49 (IIC)                    | YES                      |         |
| (E) Code of Conduct                                                     | 49 (IID)                    | YES                      |         |
| (F) Whistle Blower Policy                                               | 49 (IIE)                    | YES                      |         |
| <b>III. Audit Committee</b>                                             |                             |                          |         |
| (A) Qualified & Independent Audit Committee                             | 49 (IIF)                    | YES                      |         |
| (B) Meeting of Audit Committee                                          | 49 (III)                    | YES                      |         |
| (C) Powers of Audit Committee                                           | 49 (IIIA)                   | YES                      |         |
| (D) Role of Audit Committee                                             | 49 (IIIB)                   | YES                      |         |
| (E) Review of Information by Audit Committee                            | 49 (IIIC)                   | YES                      |         |
|                                                                         | 49 (IIID)                   | YES                      |         |
|                                                                         | 49 (IIIE)                   | YES                      |         |
| <b>IV. Nomination and Remuneration Committee</b>                        | 49 (IV)                     | YES                      |         |
| <b>V. Subsidiary Companies</b>                                          | 49 (V)                      | N.A                      |         |
| <b>VI. Risk Management</b>                                              | 49 (VI)                     | N.A                      |         |
| <b>VII. Related Party Transactions</b>                                  | 49 (VII)                    | YES                      |         |
| <b>VIII. Disclosures</b>                                                |                             |                          |         |
| (A) Related party transactions                                          | 49 (VIII)                   | YES                      |         |
| (B) Disclosure of Accounting Treatment                                  | 49 (VIIIA)                  | YES                      |         |
| (C) Remuneration of Directors                                           | 49 (VIIIB)                  | YES                      |         |
| (D) Management                                                          | 49 (VIII C)                 | YES                      |         |
| (E) Shareholders                                                        | 49 (VIII D)                 | YES                      |         |
| (F) Proceeds from public issues, rights issue, preferential issues, etc | 49 (VIII E)                 | YES                      |         |
|                                                                         | 49 (VIII F)                 | YES                      |         |
| <b>IX. CEO/CFO Certification</b>                                        | 49 (IX)                     | YES                      |         |
| <b>X. Report on Corporate Governance</b>                                | 49 (X)                      | YES                      |         |
| <b>XI. Compliance</b>                                                   | 49 (XI)                     | YES                      |         |

for S. I. CAPITAL AND FINANCIAL SERVICES LTD

"Montieth Court", 64, Montieeth Road, Egmore, Chennai - 600 008.

Tel: 42145840, 28415438, 28415439 info@sicapital.co.in

DIRECTOR