



Let's talk Forex

Si Capital & Financial Services Limited

Where Forex standards are set, not just met

12-01-2024

To
The Manager
Listing Department
BSE Limited

Scrip Code: 530907

Sir/ Madam,

Sub: SDD Compliance Certificate for the Quarter ended December 31, 2023

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and notifications thereon, we hereby submit the SDD Compliance certificate for the Quarter ended December 31, 2023 certified by Managing Director Mr Sreeram Gopinathan Nair.

Kindly take the same on record.

Thank you.

For S.I. Capital & Financial Services Limited

Sreeram Gopinathan Nair
Managing Director
Din:05143385

Encl: As above



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COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Sreeram Gopinathan Nair, Managing Director of the company, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that

1. The company has a Structured Digital Database in place
2. Control exists as to who can access the SDD
3. All the UPSI disseminated in the previous quarter have been captured in Database
4. The system has captured nature of UPSI along with date and time
5. The Database has been maintained internally and an audit trail is maintained
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 3 number of events during the quarter year ended and has captured 3 number of the said required events.

For S.I.Capital & Financial Services Limited

Sreeram Gopinathan Nair
Managing Director
Din:05143385



Date:12/01/2024

Place: Pollachi