

| General information about company | |
|--|--|
| Scrip code | 530907 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | |
| Name of the company | S.I.CAPITAL & FINANCIAL SERVICES LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-03-2019 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Declaration | | | | | |
|--------------------|--|--------|-----------------------------|--------------------|-------------------------|
| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | | |
|--|--------------------------------|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------|
| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 14 | 1829900 | | | 1829900 | 61 | 1829900 | | 1829900 | 61 |
| (B) | Public | 1649 | 1170100 | | | 1170100 | 39 | 1170100 | | 1170100 | 39 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 1663 | 3000000 | | | 3000000 | 100 | 3000000 | | 3000000 | 100 |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | |
|---|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 61 | | | | | 1799940 |
| (B) | Public | | | | 39 | | | | | 278900 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 2078840 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
|---|---|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 13 | 1325800 | | | 1325800 | 44.19 | 1325800 | | 1325800 | 44.19 |
| (d) | Any Other (specify) | 1 | 504100 | | | 504100 | 16.8 | 504100 | | 504100 | 16.8 |
| Sub-Total (A) (1) | | 14 | 1829900 | | | 1829900 | 61 | 1829900 | | 1829900 | 61 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2) | | 14 | 1829900 | | | 1829900 | 61 | 1829900 | | 1829900 | 61 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (f) | Financial Institutions/ Banks | 1 | 9200 | | | 9200 | 0.31 | 9200 | | 9200 | 0.31 |
| Sub-Total (B) (1) | | 1 | 9200 | | | 9200 | 0.31 | 9200 | | 9200 | 0.31 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 1619 | 981900 | | | 981900 | 32.73 | 981900 | | 981900 | 32.73 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 3 | 139700 | | | 139700 | 4.66 | 139700 | | 139700 | 4.66 |
| (e) | Any Other (specify) | 26 | 39300 | | | 39300 | 1.31 | 39300 | | 39300 | 1.31 |
| Sub-Total (B) (3) | | 1648 | 1160900 | | | 1160900 | 38.7 | 1160900 | | 1160900 | 38.7 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 1649 | 1170100 | | | 1170100 | 39 | 1170100 | | 1170100 | 39 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 1663 | 3000000 | | | 3000000 | 100 | 3000000 | | 3000000 | 100 |
| Total (A+B+C) | | 1663 | 3000000 | | | 3000000 | 100 | 3000000 | | 3000000 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|---|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 44.19 | | | | | 1295840 |
| (d) | | | | 16.8 | | | | | 504100 |
| Sub-Total (A) (1) | | | | 61 | | | | | 1799940 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 61 | | | | | 1799940 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (f) | | | | 0.31 | | | | | 0 |
| Sub-Total (B) (1) | | | | 0.31 | | | | | 0 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 32.73 | | | | | 237400 |
| (a(ii)) | | | | 4.66 | | | | | 35500 |
| (e) | | | | 1.31 | | | | | 6000 |
| Sub-Total (B) (3) | | | | 38.7 | | | | | 278900 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 39 | | | | | 278900 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 2078840 |
| Total (A+B+C) | | | | 100 | | | | | 2078840 |

| Individuals/Hindu undivided Family | | | | | | | |
|--|------------|------------------------|---------------|----------------|-------------------|------------------|--------------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Name of the Shareholders (I) | V A KURIEN | SANJAY ARJUNDAS WADHWA | SUSIE ABRAHAM | MARY RODRIGUES | ARJUNDAS POKARDAS | SUZANNE VENGLLET | ARJUNDAS POKARDAS HUF II |
| PAN (II) | AAHPK8821G | AAAPW2072L | AADPA9623L | AAEPR2260H | ZZZZZ9999Z | APBPS2060Q | AAIPM4136D |
| No. of fully paid up equity shares held (IV) | 756010 | 289300 | 178700 | 65200 | 19100 | 7340 | 5000 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 756010 | 289300 | 178700 | 65200 | 19100 | 7340 | 5000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 25.2 | 9.64 | 5.96 | 2.17 | 0.64 | 0.24 | 0.17 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg:X | 756010 | 289300 | 178700 | 65200 | 19100 | 7340 | 5000 |
| Class eg:y | | | | | | | |
| Total | 756010 | 289300 | 178700 | 65200 | 19100 | 7340 | 5000 |
| Total as a % of Total Voting rights | 25.2 | 9.64 | 5.96 | 2.17 | 0.64 | 0.24 | 0.17 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 25.2 | 9.64 | 5.96 | 2.17 | 0.64 | 0.24 | 0.17 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | |
| No. (a) | | | | | | | |

| | | | | | | | |
|---|--------|--------|--------|-------|------------------------|------|---|
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 756010 | 288600 | 178700 | 65200 | 0 | 7330 | 0 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | Textual Information(1) | | |
| Shareholder type | | | | | | | |

| Individuals/Hindu undivided Family | | | | | | |
|--|---------------------|--------------|------------|-------------|----------------|----------------|
| Serial No. | 8 | 9 | 10 | 11 | 12 | 13 |
| Name of the Shareholders (I) | SANJAY A WADHWA HUF | KADAVILL N T | GEORGE C A | SASIKUMAR C | ABRAHAM THOMAS | KHALEELI M A C |
| PAN (II) | AAGHS0157Q | ZZZZZ9999Z | ZZZZZ9999Z | AASPS6310D | AABPT9351Q | ZZZZZ9999Z |
| No. of fully paid up equity shares held (IV) | 4200 | 910 | 10 | 10 | 10 | 10 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 4200 | 910 | 10 | 10 | 10 | 10 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.14 | 0.03 | 0 | 0 | 0 | 0 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg:X | 4200 | 910 | 10 | 10 | 10 | 10 |
| Class eg:y | | | | | | |
| Total | 4200 | 910 | 10 | 10 | 10 | 10 |
| Total as a % of Total Voting rights | 0.14 | 0.03 | 0 | 0 | 0 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 0.14 | 0.03 | 0 | 0 | 0 | 0 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | |
| No. (a) | | | | | | |

| | | | | | | |
|---|---|------------------------|------------------------|---|---|------------------------|
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 0 | 0 | 0 | 0 | 0 | 0 |
| Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | Textual Information(2) | Textual Information(3) | | | Textual Information(4) |
| Shareholder type | | | | | | |

| Individuals/Hindu undivided Family | |
|--|---------------------------------------|
| Serial No. | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of fully paid up equity shares held (IV) | 1325800 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1325800 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 44.19 |
| Number of Voting Rights held in each class of securities (IX) | |
| Class eg:X | 1325800 |
| Class eg:y | |
| Total | 1325800 |
| Total as a % of Total Voting rights | 44.19 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 44.19 |
| Number of Locked in shares (XII) | |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of Shares pledged or otherwise encumbered (XIII) | |
| No. (a) | |
| | |

| | |
|---|---------|
| As a % of total Shares held (b) | |
| Number of equity shares held in dematerialized form (XIV) | 1295840 |
| Reason for not providing PAN | |
| Reason for not providing PAN | |
| Shareholder type | |

| Text Block | |
|------------------------|--|
| Textual Information(1) | MR. ARJUNDAS POKARDAS has passed away. MR. ARJUNDAS POKARDAS does not posses PAN. The transmission of shares has not yet been reported to the Board of Directors of the Company. |
| Textual Information(2) | MR. KADAVILL N T has passed awaya and does not posses PAN. The transmission of shares has not yet been reported to the Board of Directors of the Company. |
| Textual Information(3) | MR. GEORGE C A has passed awaya and does not posses PAN. The transmission of shares has not yet been reported to the Board of Directors of the Company. |
| Textual Information(4) | MR. KHALEELI M A C has passed awaya and does not posses PAN. The transmission of shares has not yet been reported to the Board of Directors of the Company. |

| Any Other (specify) | | |
|--|------------------------------------|---------------------------------------|
| Serial No. | 1 | |
| Category | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | SOUTHERN WAREHOUSING CO. PVT. LTD. | |
| PAN (II) | AABCS0557J | Total |
| No. of the Shareholders (I) | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 504100 | 504100 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 504100 | 504100 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 16.8 | 16.8 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 504100 | 504100 |
| Class eg:y | | |
| Total | 504100 | 504100 |
| Total as a % of Total Voting rights | 16.8 | 16.8 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 16.8 | 16.8 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |

| | | |
|---|--------|--------|
| As a % of total Shares held (b) | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 504100 | 504100 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |
| Shareholder type | | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | | |
|--|-----------------------|-----------------|---------------------|---------------------------------------|
| Serial No. | 1 | 2 | 3 | |
| Name of the Shareholders (I) | SURENDRA KUMAR NAKHAT | VRINDA S WADHWA | JAYANT PRANJIWANDAS | Click here to go back |
| PAN (II) | AJCPS1741D | ABRPV6228K | | Total |
| No. of fully paid up equity shares held (IV) | 46300 | 35500 | 57900 | 139700 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 46300 | 35500 | 57900 | 139700 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.54 | 1.18 | 1.93 | 4.66 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 46300 | 35500 | 57900 | 139700 |
| Class eg:y | | | | |
| Total | 46300 | 35500 | 57900 | 139700 |
| Total as a % of Total Voting rights | 1.54 | 1.18 | 1.93 | 4.66 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.54 | 1.18 | 1.93 | 4.66 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 0 | 35500 | 0 | 35500 |

| | | | |
|------------------------------|--|--|------------------------|
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | Textual Information(1) |

| Text Block | |
|------------------------|--|
| Textual Information(1) | Not available with the PAN. The Company has taken all the measures to track the PAN of the Share Holder but has not received the same from the share holder. |

